

**Penalty Matrix – BES – Requirement to carry out actions and the consequences of not meeting deadlines**

Requirement	Extent (based on total no. of animals)	Duration	Consequence of non-compliance		
			Initial Action	If not rectified within agreed timeframe	Re-occurrence
<b>Calving Data Capture</b> (calving ease, size of calf, calf vigour and dam docility) <b>Medium Severity</b>	80% - 99% submitted	Rectifiable	Warning letter (4 weeks to rectify from notification)	Reduce amount due for year of payment by 10%	Twice the reduction imposed previously
		Non-rectifiable or not rectified within period of the contract.	Reduce amount due for year of payment by 30%	N/A	
	50% - 79% submitted	Rectifiable	Warning letter (4 weeks to rectify from notification)	Reduce amount due for year of payment by 30%	
		Non-rectifiable or not rectified within period of the contract.	Reduce amount due for year of payment by 50%	N/A	
	Under 49% submitted	Rectifiable	Warning letter (4 weeks to rectify from notification)	Reduce amount due for year of payment by 50%	
		Non-rectifiable or not rectified within period of the contract.	Reduce amount due for year of payment by 70%	N/A	
<b>Disposal Data Capture</b> (calf and dam) <b>Medium Severity</b>	80% - 99% submitted	Rectifiable	Warning letter (4 weeks to rectify from notification)	Reduce amount due for year of payment by 10%	
		Non-rectifiable or not rectified within period of the contract.	Reduce amount due for year of payment by 30%	N/A	
	50% - 79% submitted	Rectifiable	Warning letter (4 weeks to rectify from notification)	Reduce amount due for year of payment by 30%	
		Non-rectifiable or not rectified within period of the contract.	Reduce amount due for year of payment by 50%	N/A	
	Under 49% submitted	Rectifiable	Warning letter (4 weeks to rectify from notification)	Reduce amount due for year of payment by 50%	
		Non-rectifiable or not rectified within period of the contract.	Reduce amount due for year of payment by 70%	N/A	

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<b>Weight of calf taken and recorded by specified deadline</b> (creep feeding and calf weight taken between 120 and 400 days old) <b>Low Severity</b>	80% - 99% submitted	Rectifiable	Warning letter (4 weeks to rectify from notification)	Reduce amount due for year of payment by 1%	Twice the reduction imposed previously
		Non-rectifiable or not rectified within period of the contract.	Reduce amount due for year of payment by 5%	N/A	
	50% - 79% submitted	Rectifiable	Warning letter (4 weeks to rectify from notification)	Reduce amount due for year of payment by 5%	
		Non-rectifiable or not rectified within period of the contract.	Reduce amount due for year of payment by 10%	N/A	
	Under 49% submitted	Rectifiable	Warning letter (4 weeks to rectify from notification)	Reduce amount due for year of payment by 10%	
		Non-rectifiable or not rectified within period of the contract.	Reduce amount due for year of payment by 30%	N/A	
<b>Tissue sample returned</b> (suitable sample provided to lab by the specified deadline) <b>Medium Severity</b>	80% - 99% submitted	Rectifiable	Warning letter (4 weeks to rectify from notification)	Reduce amount due for year of payment by 10%	
		Non-rectifiable or not rectified within period of the contract.	Reduce amount due for year of payment by 30%	N/A	
	50% - 79% submitted	Rectifiable	Warning letter (4 weeks to rectify from notification)	Reduce amount due for year of payment by 30%	
		Non-rectifiable or not rectified within period of the contract.	Reduce amount due for year of payment by 50%	N/A	
	Under 49% submitted	Rectifiable	Warning letter (4 weeks to rectify from notification)	Reduce amount due for year of payment by 50%	
		Non-rectifiable or not rectified within period of the contract.	Reduce amount due for year of payment by 70%	N/A	

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Requirement	Extent (based on total no. of animals)	Duration	Consequence of non-compliance		
			Initial Action	If not rectified within agreed timeframe	Re- occurrence
<b>Herd Size drops below 20% of contracted reference animals High Severity</b>	100%	Non rectifiable	Withdrawal from the scheme and recovery of all payments		Twice the reduction imposed previously
<b>Advisory Service Video (Failure to watch in year 1) Low Severity</b>	100%	Rectifiable	Warning letter (4 weeks to rectify from notification)	Reduce amount due for year of payment by 10%	
		Non-rectifiable or not rectified within period of the contract.	Reduce amount due for year of payment by 30%	NA	
<b>Advisory Service group meeting (failure to attend a meeting by specified deadline) Low Severity</b>	100%	Rectifiable	Warning letter (12 weeks to rectify from notification)	Reduce amount due for year of payment by 10%	
		Non-rectifiable or not rectified within period of the contract.	Reduce amount due for year of payment by 30%	NA	
<b>Advisory Service 1 to 1 meeting and 1st carbon audit (failure to attend meeting and/or failure to complete 1<sup>st</sup> Carbon audit by specified deadline) High Severity</b>	100%	Rectifiable	Warning letter (12 weeks to rectify from notification)	Reduce amount due for year of payment by 90%	
		Non-rectifiable or not rectified within period of the contract.	Withdrawal from the scheme and recovery of all payments		
<b>Carbon Audit Update Low Severity.</b>	100%	Rectifiable	Warning letter (4 weeks to rectify from notification)	Reduce amount due for year of payment by 10%	
		Non-rectifiable or not rectified within period of the contract.	Reduce amount due for year of payment by 30%	NA	
<b>Failure to agree Management Improvement to aim for with advisor by the specified deadline Medium Severity</b>	100%	Rectifiable	Warning letter (12 weeks to rectify from notification)	Reduce amount due for year of payment by 50%	
		Non-rectifiable or not rectified within period of the contract.	Reduce amount due for year of payment by 70%	NA	