

Cross Compliance inspection selection

The Cross Compliance legislation states that businesses should be selected for inspection on a risk basis for 75 per cent of inspections and a random basis for 25 per cent of inspections. This selection process is known as Risk Analysis. Various risk criteria are used and for each, there is a list of bands to define different classes within the criteria and associated weightings which are used to score the risk. Using these bands and weightings, businesses are scored accumulatively for each criteria and those with the highest scores are selected for inspection. A producer's points score will therefore depend upon their individual circumstances.

The tables below outline all Cross Compliance risk criteria and their associated checks, followed by the bands and weightings allocated to each.

Risk criteria	What is checked
<p>GAEC 1</p> <p>Cultivation</p>	<p>Determines whether the business is likely to be carrying out cultivations.</p> <p>Information from the current year's SAF (field data sheets), relevant crops: ALF, ARTC, ASPG, ASSF, BSP, BW, CABB, CALA, CANS, CARR, CAUL, CEL, CHP, ENG-B, FFS, GAR, GCM, HS, LEEK, LEN, LETT, LIN, MAIZE, MBSF, MIL, MU, OCS-B, OCS-K, ONI, OVEG, PAR, PHA, PUM, RAST, RYE, SB, SBEAN, SFB, SL, SO, SOR, SOSR, SPEAS, SPOT, SPP, SSF, STS, SUN, SW, SWS, TRIT, TSF, WBEAN, WB, WBS, WCC, WFB, WO, WOSR, WPEAS, WPOT, WPP, WW</p> <p>Set band to:</p> <ul style="list-style-type: none"> • No if the business hasn't declared any relevant crops • Yes if the business has declared any relevant crops
<p>GAEC 2</p> <p>Abstraction of water for irrigation</p>	<p>Determines whether the business is likely to abstract water for irrigation.</p> <p>Information from current year's SAF (field data sheets), relevant crops: BLR-POLY, BLU-GLS, BLU-POLY, CABB, CALA, CARR, CAUL, CEL, LEEK, LETT, OVEG, PAR, RASP-GLS, RASP-POLY, SPOT, STRB-GLS, STRB-POLY, TOM-GLS, WPOT</p> <p>Set band to:</p> <ul style="list-style-type: none"> • No if the business hasn't declared any of the relevant crops • Yes if the business has declared any of the relevant crops
<p>GAEC 3</p> <p>Protection of ground water</p>	<p>Determines whether the business is likely to have waste sheep dip.</p> <p>Sheep information from the current year's SAF (base form). Numbers present at 1 March (Column B). Current licence and revoked licence information from SEPA (external source).</p> <p>Set band to:</p> <ul style="list-style-type: none"> • 0 if the business hasn't declared sheep • 1 if the business has declared sheep and has a current licence • 2 if the business has declared sheep and doesn't have a current licence • 3 if the business has declared sheep and has a revoked licence

<p>GAEC 4</p> <p>Min soil cover</p>	<p>Determines whether land is at risk from erosion over the winter</p> <p>Information from the current year's SAF (field data sheets), relevant crops: SB, SO, SOSR, SW</p> <p>Set band to:</p> <ul style="list-style-type: none"> • No if the business hasn't any relevant crops • Yes if the business has declared any relevant crops
<p>GAEC 5</p> <p>Land management to limit erosion</p>	<p>Determines whether land is at risk from soil erosion due to livestock or late harvest of crops.</p> <p>Livestock information from the current year's SAF (base form), relevant livestock: pigs (excluding indoor pigs), cattle and sheep. Present at any time (Column A) or numbers at 1 March (Column B)</p> <p>Crop information from the current year's SAF (field data sheets), relevant crops: CARR, LEEK, PAR, SBEAN, SFB, SPOT, STS, SWS, WPOT</p> <p>Set band to:</p> <ul style="list-style-type: none"> • No if the business hasn't declared any relevant livestock or crops • Yes if the business has declared relevant livestock or crops
<p>GAEC 6</p> <p>Soil organic matter</p>	<p>Determines the businesses risk of carrying out practices which could have an impact on the level of soil organic matter. A score is determined for two categories. The individual scores are added together to produce an overall score for the business.</p> <p>Category 1 – Muirburn</p> <p>Determines whether the business is likely to be making muirburn.</p> <p>Information from the current year's SAF (field data sheets), relevant crop: RGR.</p> <ul style="list-style-type: none"> • Score = 0 if the business hasn't declared RGR or <50ha of RGR • Score = 1 if the business has declared ≥50<100ha of RGR • Score = 2 if the business has declared ≥100ha of RGR <p>Category 2 – Burning arable stubble</p> <p>Determines whether the business is likely to burn arable stubbles</p> <p>Information from the current year's SAF (field data sheets), relevant crops: BW, CANS, MIL, RYE, SB, SO, SOR, SOSR, SW, TRIT, WB, WO, WOSR, WW</p> <ul style="list-style-type: none"> • Score = 0 if the business hasn't declared any or <10ha of relevant crops • Score = 1 if the business has declared ≥10<100ha of relevant crops • Score = 2 if the business has declared ≥100ha of relevant crops <p>Set band to:</p> <ul style="list-style-type: none"> • 0 if the overall score is 0 • 1 if the overall score is 1 • 2 if the overall score is 2 • 3 if the overall score is 3 • 4 if the overall score is 4

<p>GAEC 7</p> <p>Retention of landscape features</p>	<p>Determines whether the business is likely to be carrying out cultivations.</p> <p>Information from the current year's SAF (field data sheets), relevant crops: ALF, ARTC, ASPG, ASSF, BSP, BW, CABB, CALA, CANS, CARR, CAUL, CEL, CHP, ENG-B, FFS, GAR, GCM, HS, LEEK, LEN, LETT, LIN, MAIZE, MBSF, MIL, MU, OCS-B, OCS-K, ONI, OVEG, PAR, PHA, PUM, RAST, RYE, SB, SBEAN, SFB, SL, SO, SOR, SOSR, SPEAS, SPOT, SPP, SSF, STS, SUN, SW, SWS, TRIT, TSF, WBEAN, WB, WBS, WCC, WFB, WO, WOSR, WPEAS, WPOT, WPP, WW</p> <p>Set band to:</p> <ul style="list-style-type: none"> • No if the business hasn't declared any relevant crops • Yes if the business has declared any relevant crops
<p>SMR 1</p> <p>Nitrate Vulnerable Zones (NVZ)</p>	<p>Determines whether the business has permanent land in a NVZ.</p> <p>Information from GIS.</p> <p>Set band to:</p> <ul style="list-style-type: none"> • No if the business doesn't have permanent land in a NVZ • Yes if the business has permanent land in a NVZ
<p>SMR 2</p> <p>Conservation of wild birds</p>	<p>Determines whether the business has permanent and/or seasonal land in a Special Protection Area.</p> <p>Information from GIS.</p> <p>Set band to:</p> <ul style="list-style-type: none"> • No if the business doesn't have permanent land in a SPA • Yes if the business has permanent land in a SPA
<p>SMR 3</p> <p>Conservation of flora and fauna</p>	<p>Determines whether the business has permanent and/or seasonal land in a Special Area of Conservation.</p> <p>Information from GIS.</p> <p>Set band to:</p> <ul style="list-style-type: none"> • No if the business doesn't have permanent land in a SAC • Yes if the business has permanent land in a SAC
<p>SMR 4</p> <p>Food and feed law</p>	<p>Determines the relevance of food and feed law in relation to the number and scale of enterprises the business is involved in. A score is determined for five food and feed categories. The individual scores are added together to produce an overall score for the business.</p> <p>Category 1 – Poultry</p> <p>Information from <u>current</u> year's SAF (base form)</p> <ul style="list-style-type: none"> • Score = 0 if the business doesn't declare any or <20 birds • Score = 1 if the business declared $\geq 20 < 100$ birds • Score = 2 if the business declared $\geq 100 < 1000$ birds • Score = 3 if the business declares ≥ 1000 birds <p>Category 2 – Dairy</p> <p>Information from <u>current</u> year's SAF (base form)</p>

- Score = 0 if the business doesn't declare any or <20 dairy cows used for milk production
- Score = 1 if the business declared $\geq 20 < 150$ dairy cows used for milk production
- Score = 2 if the business declared $\geq 150 < 500$ dairy cows used for milk production
- Score = 3 if the business declares ≥ 500 dairy cows used for milk production

Category 3 – Vegetables

Information from current year's SAF (field data sheets). Relevant crops: ARTC, ASPG, BSP, CABB, CALA, CARR, CAUL, CEL, CHP, GAR, LEEK, LETT, ONI, OVEG, PAR, PUM, SBEAN, SPEAS, SPOT, STS, SWS, WBEAN, WPEAS, WPOT

- Score = 0 if the business hasn't declare any or <1ha of relevant crops
- Score = 1 if the business declared $\geq 1 < 10$ ha of relevant crops
- Score = 2 if the business declared $\geq 10 < 20$ ha of relevant crops
- Score = 3 if the business declares ≥ 20 ha of relevant crops

Category 4 – Fruit

Information from current year's SAF (field data sheets). Relevant crops: AMCP, BKB, BLB, BLR-OPEN, BLR-POLY, BLU-OPEN, BLU-POLY, CRB, GSB, LGB, MLB, OSFRT, RASP-GLS, RASP-OPEN, RHB, RRC, STRB-GLS, STRB-OPEN, STRB-POLY, TFRT, TOM-GLS

- Score = 0 if the business hasn't declare any or <0.1ha
- Score = 1 if the business declared $\geq 0.1 < 1$ ha of relevant crops
- Score = 2 if the business declared $\geq 1 < 5$ ha of relevant crops
- Score = 3 if the business declares ≥ 5 ha of relevant crops

Category 5 – Cereals

Information from current year's SAF (field data sheets). Relevant crops: BW, LEN, MIL, RYE, SB, SL, SO, SOR, SOSR, SUN, SW, TRIT, WB, WO, WOSR, WW

- Score = 0 if the business hasn't declare any or <5ha
- Score = 1 if the business declared $\geq 5 < 50$ ha of relevant crops
- Score = 2 if the business declared $\geq 50 < 100$ ha of relevant crops
- Score = 3 if the business declares ≥ 100 ha of relevant crops

Set the band to:

- 0 if the overall score is 0
- 1 if the overall score is 1
- 2 if the overall score is 2
- 3 if the overall score is 3
- 4 if the overall score is 4

	<ul style="list-style-type: none"> • 5 if the overall score is 5 • 6 if the overall score is 6 • 7 if the overall score is 7 • 8 if the overall score is 8 • 9 if the overall score is 9 • 10 if the overall score is 10 • 11 if the overall score is 11 • 12 if the overall score is 12 • 13 if the overall score is 13 • 14 if the overall score is 14 • 15 if the overall score is 15
<p>SMR 6</p> <p>Pig ID and traceability</p>	<p>Determines whether a business has pigs.</p> <p>Information from <u>current</u> year's SAF (base form). Present at any time (Column A) or numbers at 1 March (Column B)</p> <p>Set band to:</p> <ul style="list-style-type: none"> • No if the business hasn't declared indoor or outdoor pigs • Yes if the business has declared indoor or outdoor pigs
<p>SMR 9</p> <p>TSE</p>	<p>Determines whether the business has any TSE susceptible livestock (cattle and sheep).</p> <p>Information from <u>current</u> year's SAF (base form). Present at any time (Column A) or numbers at 1 March (Column B)</p> <p>Set the band to:</p> <ul style="list-style-type: none"> • No if the business hasn't declared cattle or sheep • Yes if the business has declared cattle or sheep
<p>SMR 10</p> <p>Plant protection products</p>	<p>Determines whether the business is likely to use plant protection products</p> <p>Information from current year's SAF (field data sheets).</p> <p>Checks whether the business has declared any of the following:</p> <ul style="list-style-type: none"> • Arable crops: AMCP, ARTC, ASPG, BFLO, BKB, BLB, BLR-OPEN, BLR-POLY, BLU-GLS, BLU-OPEN, BLU-POLY, BPP, BSP, BW, CABB, CALA, CANS, CARR, CAUL, CEL, CHP, CRB, FFS, GAR, GSB, HS, LEEK, LEN, LETT, LGB, LIN, MIL, MLB, NU-FS, NU-OT, NU-RRS, NU-SH, ONI, ONU, OSFRT, OVEG, RASP-GLS, RASP-OPEN, RASP-POLY, RHB, RRC, RYE, SB, SBEAN, SFB, SL, SO, SOR, SOSR, SPEAS, SPOT, SPP, SSF, STRB-GLS, STRB-OPEN, STRB-POLY, STS, SUN, SW, SWS, TFRT, TOM-GLS, TRIT, TURF, WBEAN, WB, WBS, WCC, WFB, WO, WOSR, WPEAS, WPOT, WPP, WRC, WW • Forage crops: ALF, ASSF, CLO, ENG-B, MAIZ, MSC, OCS-B, OCS-B, OCS-K, PGRS, RAST, SSF, TGRS1, TGRS2, TGRS3, TGRS4, TGRS5, TSF, VET

	<p>Set the band to:</p> <ul style="list-style-type: none"> • 0 if the business hasn't declared any arable crops or <10ha forage crops • 1 if the business has only declared forage crops $\geq 10\text{ha}$ • 2 if the business has declared arable crops $\geq 0.1\text{ha} < 10\text{ha}$ • 3 if the business has declared arable crops $\geq 10\text{ha} < 50\text{ha}$ • 4 if the business has declared arable crops $\geq 50\text{ha} < 100\text{ha}$ • 5 if the business has declared arable crops $\geq 100\text{ha}$
Time since last inspection	<p>The number of years since the beneficiary last had a risk or random Cross Compliance inspection (BaU = inspection type XCRS, Futures = Inspection Level Cross Compliance).</p> <p>Set the band to:</p> <ul style="list-style-type: none"> • 1 if the business was selected for a Cross Compliance inspection in year n-1 • 2 if the business was selected for a Cross Compliance inspection in year n-2 • 3 if the business was selected for a Cross Compliance inspection in year n-3, n-4 or n-5 • 6 if the business was selected for a Cross Compliance inspection in year > n-5 or has not been selected for inspection
Cross Compliance breach	<p>The level of Cross Compliance penalty (%) applied to payments in the previous calendar year.</p> <p>Information from BAU and Futures after 2015.</p> <p>Set the band depending on the level of penalty:</p> <ul style="list-style-type: none"> • 0 if a 0% penalty (warning letter) was applied in year n-1 • 1 if a 1% or 2% penalty was applied in year n-1 • 3 if a 3% or 4% penalty was applied in year n-1 • 5 if a 5% - 9% (inclusive) penalty was applied in year n-1 • 10 if a 10% - 19% (inclusive) penalty was applied in year n-1 • 20 if a >19% penalty was applied in year n-1

Bands and weightings

GAEC 1 Buffer strips along water courses

Band	Weighting
No	0
Yes	20

GAEC 2 Abstraction of water of irrigation

Band	Weighting
------	-----------

No	0
Yes	20

GAEC 3 Protection of ground water

Lower	Upper	Weighting
0	1	0
1	2	80
2	3	150
3	4	230

GAEC 4 Minimum soil cover

Band	Weighting
No	0
Yes	20

GAEC 5 Land management to limit erosion

Band	Weighting
No	0
Yes	20

GAEC 6 Soil organic matter

Lower	Upper	Weighting
0	1	0
1	2	5
2	3	10
3	4	15
4	5	20

GAEC 7 Retention of landscape features

Band	Weighting
No	0
Yes	20

SMR 1 NVZ

Band	Weighting
No	0

Yes	230
-----	-----

SMR 2 Conservation of wild birds

Band	Weighting
No	0
Yes	230

SMR 3 Conservation of flora and fauna

Band	Weighting
No	0
Yes	230

SMR 4 Food and feed law

Lower	Upper	Weighting
0	1	10
1	2	20
2	3	40
3	4	60
4	5	80
5	6	100
6	7	120
7	8	140
8	9	160
9	10	170
10	11	180
11	12	190
12	13	200
13	14	210
14	15	220
15	16	230

SMR 6 Pig ID and traceability

Band	Weighting
No	0
Yes	230

SMR 9 TSE

Band	Weighting
------	-----------

No	0
Yes	120

SMR 10 Plant protection products

Lower	Upper	Weighting
0	1	0
1	2	30
2	3	60
3	4	100
4	5	120
5	6	230

Time since last inspection

Lower	Upper	Weighting
0	1	100
1	2	-800
2	3	-700
3	6	-500
6	99	100

Cross compliance breach

Lower	Upper	Weighting
0	1	5
1	3	10
3	5	15
5	10	30
10	20	40
20	999	100